

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
MAIL STOP PATENT APPLICATION



## TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION UNDER 37 C.F.R. §1.53(b)

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inv	ventor: Takehiro MATSUDA				
Fo	r: OPTICAL PICKUP APPARATUS				
1.	This is a new Utility Design Plant patent application.				
2.	2. The papers enclosed to obtain a filing date are as follows:				
	Pages of Specification including:  O Title Page  34 Pages of Description  4 Pages of Claims  1 Page of Abstract  14 Sheets of drawings containing 24 Figures  The enclosed drawings are photographs, and there is also attached a PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)				
3.	Combined Declaration and Power of Attorney				
	Enclosed and is executed by all inventors  Not Enclosed.  This application is being filed under the provisions of 37 C.F.R. §1.53(f).  Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.				

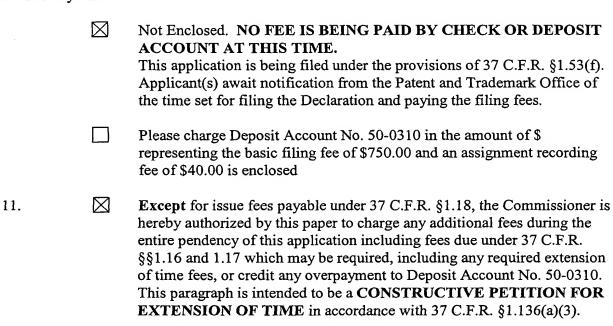
4.	Language					
	This of the	English application is being fi MPEP. Applicant(s)	await notificatio	with 37 C.F.R. §1.52(d) and §608.01 n from the Patent and Trademark English translation and the processing		
5.	Assignment					
	Reco	An assignment of the invention to and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.  An assignment will be filed at a later date.				
6.	<ul> <li>Priority - foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one country other than the U.S.</li> <li>Priority of the following foreign application is claimed:</li> </ul>					
			tion No.	Filed		
-	Country  Japan	2002-27		September 20, 2002		
	Japan	2002-2	7-77-71	Beptemeer 20, 2002		
7.	Certified copy: ☑ is attached. ☐ will follow.  7. Priority based on provisional application(s) - 35 U.S.C. §119(e)  ☐ Priority of the following provisional application(s) is claimed:					
	Application			Filed		
	119911044101					
<u> </u>	A. Relate Back - 35 U.S.C. §119(e)  Attached is a Preliminary Amendment amending the specification by inserting before the first line the sentence: "This application claims priority of copending provisional application(s) No filed on"					
8.	Small entity status					
	Applicant(s) hereby assert(s) small entity status under 37 C.F.R. § 1.27.					

1-WA/2053459.1

9. Fee Calculation (37 C.F.R. §1.16)

	Number Filed	Number Extra		Basic Fee Utility \$750.00 Design \$330.00	
			BASIC FEE	\$750.00	
Total Claims (37 C.F.R. §1.16(c))	14 - 20 =	0	18.00		
Independent Claims (37 C.F.R. §1.16(b))	2 - 3 =	0	84.00		
Multiple dependent claim	Multiple dependent claim(s), if any (37 C.F.R. §1.16(d)) 280.00				
		\$750.00			
	Reduction by 1/2 for filing by a small entity				
SUB-TOTAL =			\$750.00		
Assignment Recordation	\$40.00	\$40.00			
	\$790.00				

## 10. Fee Payment



12.	Additional p	apers enclosed:
12.		Preliminary Amendment Information Disclosure Statement Form PTO-1449, document included Declaration of Biological Deposit
		Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Please accord this application an application number and filing date.

Respectfully submitted,

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Dated: September 22, 2003
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